



Securities Services (The following fees are charged according to the transaction currency, unless otherwise stated)

1. Securities Trading Brokerage Fee	
• Retail Banking Securities Services	
- Trading Hong Kong Listed Stocks (All channels)	0.25% of transaction amount, minimum HKD100/ RMB80
- Trading Shanghai A Share & Shenzhen A Share (All channels)	0.25% of transaction amount, minimum RMB80
• Private Banking Securities Services	
- Trading Hong Kong Listed Stocks	
- Via Manned Trading Services	0.4% of transaction amount, minimum HKD300/ RMB300
- Via Online/Mobile Trading Platform	0.25% of transaction amount, minimum HKD100/ RMB100
- Trading Shanghai A Share & Shenzhen A Share	
- Via Manned Trading Services	0.4% of transaction amount, minimum RMB240
- Via Online/Mobile Trading Platform	0.25% of transaction amount, minimum RMB80
2. Securities Trading other charges[#]	
• Applicable to Hong Kong Listed Stocks	
i) Stamp Duty	0.13% of transaction amount, rounding up to the nearest dollar/RMB
ii) Trading Fee	0.00565% of transaction amount
iii) Transaction Levy	0.0027% of transaction amount
iv) FRC Transaction Levy	0.00015% of transaction amount
• Applicable to Shanghai A Share and Shenzhen A Share	
i) Handling Fee	0.00341% of the consideration of a transaction per side
ii) Securities Management Fee	0.002% of the consideration of a transaction per side
iii) Transfer Fee	0.001% of the consideration of a transaction per side (collected by ChinaClear Shanghai/ ChinaClear Shenzhen)
	0.002% of the consideration of a transaction per side (collected by HKSCC)
iv) Stamp Duty	0.05% of the consideration of a transaction on the seller
3. Margin Interest Rate[^]	
• Margin Interest Rate	
- VIP Banking Customer Margin Securities Accounts	HKD Prime Rate ^v -1.5% p.a.
- General Customer Margin Securities Accounts	HKD Prime Rate ^v -0.5% p.a.
• Excess Margin Interest Rate	
- All Margin Securities Accounts	HKD Prime Rate ^v +1% p.a.
4. Dividend Tax (Applicable to Shanghai A Share & Shenzhen A Share)	Please refer to the related information published on HKEx's Shanghai-Hong Kong Stock Connect & Shenzhen-Hong Kong Stock Connect webpage
5. Capital Gain Tax (Applicable to Shanghai A Share & Shenzhen A Share)	Please refer to the related information published on HKEx's Shanghai-Hong Kong Stock Connect & Shenzhen-Hong Kong Stock Connect webpage
6. Deposit charge for purchase of securities, deposit of securities, warrant, bonus issue or scrips in lieu of cash dividends	- Deposit charge for purchase transaction waived - Deposit charge for purchase of Warrants and CBBC (Callable Bull/Bear Contracts) waived - Deposit charge for deposit of physical share/warrant, bonus issue or scrips in lieu of cash dividends waived For deposit of physical share/warrant, plus transfer deed stamp duty (HKD5/RMB5 for each transfer deed) - Settlement Instruction (Transfer-in) waived
7. Safe Custody[*]	
• VIP Banking & Private Banking Customer [^] Securities Accounts/ Margin Securities Accounts	HKD100
• General Customer Securities Accounts/ Margin Securities Accounts	HKD150
	The fee charged half-yearly at early of June and December each year, applicable for those Securities Accounts/Margin Securities Accounts which have securities transaction(s) or securities holdings in the 6 months period ended 31 May and 30 November respectively.
8. Settlement Instruction (Transfer out)	HKD5/RMB5 per board lot (per stock), minimum HKD30/RMB30
9. Physical Scrip Withdrawal	HKD5/RMB5 per board lot (per stock), minimum HKD30/RMB30
10. New Issue IPO Application[~]	HKD100/RMB100 per application. Deposit charge for allotted shares waived.
11. Collection of Dividends/Interest or Scrip in lieu of cash dividend	0.5% on dividend/interest, minimum HKD30/RMB30, maximum HKD2,000/RMB2,000 (Fee on collection of Dividends/Interest is charged according to the currency credited to the account)
12. Exercise of Warrants/Rights Issues/ Open Offers/Conversion of Shares/ Share Exchange Offers, Privatizations or Other Corporate Actions in respect of receipt of securities	HKD2/RMB2 per board lot, minimum HKD30/RMB30, maximum HKD2,000/RMB2,000
13. Bonus Issue/Warrant/Subdivision/ Consolidation	HKD30/RMB30 per event
14. Registration Charge	Registration into Client's own name HKD5/RMB5 per board lot (per stock), minimum HKD100/RMB100, plus company registrars' charges Registration into HKSCC Nominee or Dah Sing Nominee Company registrars' charges
15. Commission on receipt/ delivery against payment	0.125% of the consideration, minimum HKD100/RMB100
16. Cash Offers, Privatizations or Other Corporate Actions with cash consideration	0.5% on each cash consideration, minimum HKD30/RMB30, maximum HKD2,000/RMB2,000
17. Stamp duty involved in Cash Offers/ Share Exchange Offers or Other Corporate Actions	0.13% of the involved amount (rounding up to the nearest dollar/RMB)
18. Dividend Claim Charges	HKD250/RMB250 plus CCASS/company registrars' charges and any other related charges
19. Overdraft^{**}	0.5% of the overdraft limit per annum, minimum HKD250 (charges exceeding HKD5,000 are negotiable)
20. Cash receipt from listed equity linked instrument^{**}	HKD100/transaction
21. Stocks Investment Savings Plan	0.25% of the monthly investment amount, minimum HKD38 (inclusive of brokerage fee, stamp duty, transaction levy and trading fee) for each plan
22. Online/Mobile Trading Platform Real-time Stock Quote Services[*]	
• Streaming stock quote service	HKD290 per month
• Snapshot stock quote service	
i) VIP Banking Customer Securities Accounts/Margin Securities Accounts	1,000 free quotes per month ^Δ
ii) General Customer/Private Banking [^] Securities Accounts/ Margin Securities Accounts	500 free quotes per month ^Δ
	(Additional quotes will be charged at HKD0.10 per quote)
23. Mobile Trading Platform "Power Tracker" Service[*] (Streaming stock quote service included)	HKD450 per month

[#] Customers who purchase shares issued by companies resident in Italy with market capitalization of more than EUR 500 million and listed in Hong Kong have to pay Financial Transaction Tax to the Italian Government and the applicable tax rate is 0.10% starting from 2014 (subject to changes according to the Italian authorities). The tax payable is determined on the basis of the net increase of stock holding of weighted average purchase price of the same securities in the single day in each securities account.

^v If there are any updates, the relevant interest rate in Margin Securities Account will be effective on the next working day following the date of update.

[^] Securities Margin Trading Services is applicable to Single-Named Account only. Private Banking Account, Non-Personal Account and Joint-Named Account are not applicable.

[~] This fee will not be refunded upon successful allotment of shares in any quantity. However, this fee will be refunded within 3 months in case of unsuccessful allotment.

^{*} The above charge items 7, 22 & 23 are collected on per Securities Account/Margin Securities Accounts basis.

^{**} The above charge items 19 & 20 are applicable to HKD securities only.

^Δ Free quotes offered to customers for each month can only be enjoyed during that particular month. Unused free quotes of a particular month will be cancelled at month end.

General Bank Customer refers to both personal and non-personal customers of the Bank, unless otherwise specified.

For the definition and details of 328 Business Banking Customer (Premium Status), please refer to the Bank's website at www.dahsing.com/biz/reward/en.

For enquiry from Private Banking customers, please contact your designated Private Banking Relationship Manager.

In the event of any discrepancy or dispute as to the meaning of any provision, the English text shall prevail.

For the service charges in HKD equivalent, the Bank will levy the fee according to an exchange rate set by the Bank. All out-of-pocket expenses are for account of clients. For charges not listed above, please contact our branches/department or visit www.dahsing.com for details. The Bank reserves the right to revise any charges, special offers or introduce charges not included in this booklet. If you have any queries about any of the charges mentioned in this booklet, please call our Customer Service Hotline:

2828 8000 (Personal Banking Customers)	2828 7028 (Securities Customers)
2828 8008 (328 Business Banking Customers)	2828 8009 (Cash Card Customers)
2507 6000 (Vehicle/Equipment Finance Customers)	2828 5488 (Credit Card Customers)