

## Securities Services

<b>1. Brokerage Fee</b>	
• i-Securities Services	0.25% of transaction amount, minimum HK\$100
• Automated Phone Services	0.25% of transaction amount, minimum HK\$100
• Manned Trading Services	0.25% of transaction amount, minimum HK\$100
• Private Banking Securities Services - Trading Hong Kong Listed Stocks	0.4% of transaction amount, minimum HK\$300
- Trading Foreign Stocks	Specific information on charges is available upon request
<b>2. Stamp Duty</b>	0.1% of transaction amount, rounding up to the nearest dollar
<b>Trading Fee</b>	0.005% of transaction amount
<b>Transaction Levy</b>	0.004% of transaction amount
<b>Transfer Deed Stamp Duty</b>	HK\$5 for each transfer deed
<b>3. Deposit of securities, warrant, bonus issue or scrips in lieu of cash dividends (also applicable to purchase of securities)</b>	- Deposit charge for purchase transaction HK\$5 / board lot or odd lot, minimum HK\$30; maximum HK\$150
	- Deposit of physical share warrant, bonus issue or scrips in lieu of cash dividends HK\$5 / board lot, minimum HK\$30; maximum HK\$150
	- Settlement Instruction (Transfer in) waived
<b>4. Safe Custody</b>	HK\$0.15 / board lot, minimum HK\$100 charged half-yearly on portfolio on 1 June & 1 December. A minimum charge also applies to securities account having trading activity or stock holdings in the past 6 months before payment dates. (charges exceeding HK\$2,500 are negotiable)
<b>5. Settlement Instruction (Transfer out)</b>	HK\$30 / transaction plus CCASS charges
<b>6. Physical Scrip Withdrawal</b>	HK\$5 / board lot, minimum HK\$30 plus CCASS charges
<b>7. Collection of Dividends / Interest</b>	0.5% on dividend / interest, minimum HK\$30 (maximum HK\$2,500)
<b>8. Scrip in lieu of cash dividend</b>	Collection charge on dividends plus deposit charge
<b>9. Exercise of Warrants / Rights Issue</b>	HK\$2 / board lot, minimum HK\$30 plus CCASS charges
<b>10. Subdivision / Consolidation / Conversion of Shares</b>	HK\$5 / board lot (based on new shares minimum HK\$30 and maximum HK\$500)
<b>11. Registration Charge</b>	<b>Registration into Client's own name</b> HK\$5 / board lot, minimum HK\$100, plus company registrars' charges <b>Registration into HKSCC Nominee or Dah Sing Nominee</b> Company registrars' charges
<b>12. Commission on receipt / delivery against payment</b>	0.125% of the consideration, minimum HK\$100
<b>13. New Issue Application</b>	HK\$100 per application
<b>14. Cash Offers and other corporate actions with cash consideration</b>	0.5% on each cash consideration, minimum HK\$30 plus CCASS charges (charges exceeding HK\$2,500 are negotiable)
<b>15. Dividend Claim Charges</b>	HK\$250 plus dividend collection charge plus deposit charge HK\$10 / board lot (minimum HK\$30) plus CCASS / company registrars' charges
<b>16. Overdraft</b>	0.5% of the overdraft limit per annum, minimum HK\$250 (charges exceeding HK\$5,000 are negotiable)
<b>17. Cash receipt from listed equity linked instrument</b>	HK\$100 / transaction plus CCASS charges
<b>18. On-line Real-time Stock Quote Services</b>	
• Streaming stock quote service	HK\$290 per month
• Snapshot stock quote service	
- 500 Quotes	HK\$50 per month
- 1,000 Quotes	HK\$90 per month
- 2,000 Quotes	HK\$160 per month
- Outstanding Quotes	HK\$0.1 per quote
Except the charges for Outstanding Quotes, all other monthly service charges would be debited from customer's settlement account in advance.	

For the service charges in HKD equivalent, the Bank will levy the fee according to an exchange rate set by the Bank. All out-of-pocket expenses are for account of clients. For charges not listed above, please contact our branches or visit [www.dahsing.com](http://www.dahsing.com) for details. The Bank reserves the right to change the above charges or introduce charges not included in this leaflet. If you have any queries about any of the charges mentioned in this leaflet, please call our Customer Service Hotline at 2828 8000.